



1741

PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/837,902

Filing Date April 18, 2001

First Named Inventor Hui WANG

Group Art Unit 1741

Examiner Name To Be Assigned

Total Number Of Pages In This Submission

7 + 14
REF.

Attorney Docket No. 495152000110

ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declarations | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | 1. Form PTO-1449, citing 14 references (1 p.) |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (3 pp.) | <input type="checkbox"/> Request for Refund | 2. Return Receipt Postcard |
| | <input type="checkbox"/> CD, Number of CD(s) _____ | 3. Copy of Search Report (2 pp.) |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | | 4. One copy each of 14 cited references |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

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or
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Peter J. Yim, Reg. No. 44,417

Signature

Date

March 7, 2003

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 7, 2003.

Yayoi Isaacson

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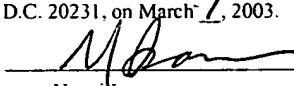


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PATENT
Docket No. 495152000110 3-17-03

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Yayoi Isaacson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Hui WANG

Serial No.: 09/837,902

Filing Date: April 18, 2001

For: PLATING APPARATUS AND
METHOD

Examiner: ~~To Be Assigned~~ *Leader*

Group Art Unit: ~~1741~~ *1742*

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

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GROUP 1742

The documents listed on the attached Form PTO-1449 were cited in a Japanese Search Report for a Japanese Office Action of December 12, 2002, directed to a counterpart foreign application. A copy of the Search results is enclosed.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **495152000110**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: March 7, 2003

Respectfully submitted,

By: 

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Form PTO-1449

Docket Number 495152000110

Application Number 09/837,902

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Applicant

Hui WANG

Filing Date April 18, 2001

Group Art Unit 1741

Mailing Date March 7, 2003

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	10/31/2000	6,140,234	Uzoh et al.			
	2.	1/16/2001	6,174,796 B1	Takagi et al.			
	3.	6/26/2001	6,251,528 B1	Uzoh et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	4.	11/4/1992	4-311591	Japan			
	5.	8/12/1994	6-224202	Japan			
	6.	8/3/1993	5-195183	Japan			
	7.	3/15/1994	6-73598	Japan			
	8.	8/25/1992	Utility Model 2538705	Japan			
	9.	8/1/1995	7-197299	Japan			
	10.	5/2/1995	7-113159	Japan			
	11.	1/9/1996	8-003153	Japan			
	12.	1/25/1994	6-017291	Japan			
	13.	2/9/1999	11-36096	Japan			
	14.	3/26/1999	11-80993	Japan			

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.